

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		10817500
Filing Date		2004-04-02
First Named Inventor	Frank Jao	
Art Unit	1615	
Examiner Name	Sheikh, Humera H	
Attorney Docket Number	ARC 2258 C1	

U.S. PATENTS[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	2799241		1957-07-16	Wurster	
	2	3133132		1964-05-12	Loeb et al	
	3	3173876		1965-03-16	Zobrist et al	
	4	3276596		1966-10-04	Rosaen	
	5	3541005		1970-11-17	Strathmann et al	
	6	3541006		1970-11-17	Bixler et al	
	7	3546142		1970-12-08	Michaels et al	
	8	3598122		1971-08-10	Zaffaroni	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

9	3598123		1971-08-10	Zaffaroni	
10	3845770		1974-11-05	Theeuwes et al	
11	3916899		1975-11-04	Theeuwes et al	
12	4058122		1977-11-15	Theeuwes et al	
13	4063064		1977-12-13	Saunders et al	
14	4088864		1978-05-09	Theeuwes et al	
15	4160020		1979-07-03	Ayer et al	
16	4200098		1980-04-29	Ayer et al	
17	4285987		1981-08-25	Ayer et al	
18	4327725		1982-05-04	Cortese et al	
19	4485087		1984-11-27	Otsuko et al	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

20	4554281		1985-11-19	vonBebenburg	
21	4612008		1986-09-16	Wong et al	
22	4629621		1986-12-16	Snipes	
23	4765989		1988-08-23	Wong et al	
24	4783337		1988-11-08	Wong et al	
25	4857336		1989-08-15	Khanina et al	
26	4968508		1990-11-06	Oren et al	
27	4986058		1991-02-26	Sinnreich	
28	5049586		1991-09-17	Ortega et al	
29	5091190		1992-02-25	Kuczynski et al	
30	5160744		1992-11-03	Jao et al	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10817500
Filing Date		2004-04-02
First Named Inventor	Frank Jao	
Art Unit	1615	
Examiner Name	Sheikh, Humera H	
Attorney Docket Number	ARC 2258 C1	

31	5190763		1993-03-02	Edgren et al	
32	5190765		1993-03-02	Jao et al	
33	5192550		1993-03-09	Edgren et al	
34	5252338		1993-10-12	Jao et al	
35	5258436		1993-11-02	Wheatley et al	
36	5284662		1994-02-08	Koparkar et al	
37	5348746		1994-09-20	Dong et al	
38	5464632		1995-11-07	Cousin et al	
39	5656296		1987-08-12	Khan et al	
40	5660861		1997-08-26	Jao et al	
41	5863558		1999-01-26	Jao et al	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

42	5876750		1999-03-02	Jao et al	
43	5906832		1999-05-25	Jao et al	
44	5955103		1999-09-21	Jao et al	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S. PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS

Remove

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	0277092	EP		1988-08-03	Ciba-Geigy AG		<input type="checkbox"/>
	2	0439858	EP		1991-08-07	Aesculaap B. V.		<input type="checkbox"/>
	3	2148712	GB		1985-06-05	ALZA Corporation		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

4	2155889	GB		1985-10-02	ALZA Corporation		<input type="checkbox"/>
5	2182559	GB		1985-05-20	ALZA Corporation		<input type="checkbox"/>
6	2193632	GB		1988-02-17	Ciba-Geigy AG		<input type="checkbox"/>
7	92/18102	WO		1992-10-29	ALZA Corporation		<input type="checkbox"/>
8	94/27587	WO		1994-12-08	ALZA Corporation		<input type="checkbox"/>
9	95/06460	WO		1995-03-09	ALZA Corporation		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ts
	1	Alvin Felmeister. "Powders." Remington's Pharmaceutical Sciences. 14th Ed. Easton: Mack Publishing Co. 1970. 1626-1680.	<input type="checkbox"/>
	2	Dale E. Wurster. "Air Suspension Technique of Coating Drug Particles." Journal of the American Pharmaceutical Association. Scientific Edition. 48 (1959) : 451-454.	<input type="checkbox"/>
	3	Dale E. Wurster. "Preparation of Compressed Tablet Granulations by the Air-Suspension Technique II." Journal of the American Pharmaceutical Association. Scientific Edition. 49 (1960) : 83-84.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

4	Ewart A. Swinyard, "Antiepileptics." Remington's Pharmaceutical Sciences. 18th Ed. Easton: Mack Publishing Co. 1990. 1072-1081.	<input type="checkbox"/>
5	Mark A. Longer and Joseph R. Robinson, "Sustained Release Drug Delivery Systems." Remington's Pharmaceutical Sciences. 18th Ed. Easton: Mack Publishing Co. 1990. 1676-1686.	<input type="checkbox"/>
6	Modern Plastics Encyclopedia. Vol. 46. 1969. 62-70.	<input type="checkbox"/>
7	P. Guinchedi et al. "Linear Extended Release of a Water-Insoluble Drug, Carbamazepine, from Erodible Matrices." International Journal of Pharmaceutics. 94 (1993): 15-22.	<input type="checkbox"/>
8	The Merck Index. Eds. Martha Windholz et al. New Jersey: Merck & Co., Inc. 1983. 1054-1055.	<input type="checkbox"/>
9	Theodore W. Rall and Leonard S. Schleifer. "Drugs Effective in the Therapy of Epilepsies." The Pharmacological Basis of Therapeutics. Eds. Alfred G. Gilman et al. 8th Ed. New York: Pergamon Press. 1990. 436-462.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10817500
Filing Date	2004-04-02
First Named Inventor	Frank Jao
Art Unit	1615
Examiner Name	Sheikh, Humera H
Attorney Docket Number	ARC 2258 C1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Adenike Adebiyi/	Date (YYYY-MM-DD)	2007-05-24
Name/Print	Adenike A. Adebiyi	Registration Number	42254

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.